07.01.0

Attorney Docket No.: S-9-6 Utility First Inventor: Lewis Sharps Patent Applicati n Title: METHODS FOR REPAIRING DAMAGED **Transmittal** INTERVERTEBRAL DISCS Express Mail Label No.: EU313734564US ADDRESS TO: APPLICATION ELEMENTS Mail Stop Patent Application Box 1450 Alexandria, VA 22313-1450 1. [X] Fee Transmittal Form (e.g. PTO/SB/17) 7. [] CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 2. [] Applicant claims small entity status. 8. [] Nucleotide and/or Amino Acid Sequence 3. [X] Specification - Total Pages 89 Submission - Descriptive title of the invention a. [] Computer Readable Form - Cross Reference to Related Applications b. [] Specification Sequence Listing on: - Background of the Invention i. [] CD-ROM or CD-R or - Brief Summary of the Invention ii. [] paper - Brief Description of the Drawings c. [] Statements verifying identity of above copies - Detailed Description - Claims **ACCOMPANYING APPLICATION PARTS** - Abstract of the Disclosure 9. [X] Assignment Papers (cover sheet/documents) 4. [X] Drawings - Total Sheet _34_ 10. [] 37 CFR 3.73(b) Statement [] Power of Attorney 5. [X] Oath or Declaration – Total Pages 4 11. [] English Translation Document a. [] Newly executed (original or copy) 12. [] Information Disclosure Statement (PTO-1449) b. [X] Copy from a prior application (37 CFR 1.63(d)) 13. [X] Preliminary Amendment i. [] Deletion of Inventors 14. [X] Return Receipt Postcard 6. [X] Application Data Sheet 15. [] Certified Copy of Priority Document 16. [] Request and Certification under 35 USC 122(b)(2)(B)(I) 17. [] Other 18. [X] If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76. [X] Continuation [] Divisional [] Continuation-in-Part (CIP) of prior application No.: 09/676,194 Prior application information: Examiner: Lee Cohen Group Art Unit: 3739 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5B is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. Correspondence Address: **Customer Number 021394** Richard Batt Reg. 43,485 CERTIFICATE OF MAILING

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated below, Express Mail Label No. EU313734564US and is

addressed to; Mail Stop Patent Application, P.O Box 1450, Alexandria, VA 22313-1450

Michelle Nicely

165

ĪΛ Application No.: Unknown FEE TRANSMITTAL Filing Date: Herewith for FY 2003 First Named Inventor: Lewis Sharps Examiner Name: Unknown Group Art Unit: 3739 [] Applicant claims small entity status. 37 CFR 1.27 Attorney Docket No.: S-9-6 **TOTAL AMOUNT OF PAYMENT \$1002** FEE CALCULATION (continued) 3. ADDITIONAL FEES METHOD OF PAYMENT Large Small Entity **Entity** The Commissioner is hereby authorized to Fee Fee Fee Fee **Fee Description** Code (\$) Code (\$) charge indicated fees and credit any 65 Surcharge - late filing fee or oath 1051 130 2051 overpayments to: 25 Surcharge – late provisional filing 1052 50 2052 Deposit Account No. 50-0359 fee or cover sheet ArthroCare Corporation 1053 130 1053 130 Non-English specification 1812 2,520 1812 2,520 Request for ex parte reexamination 920* Requesting publication of SIR 1804 920* 1804 **FEE CALCULATION** prior to Examiner Action 1805 1.840 1805 1.840*Regesting publication of SIR after 1. BASIC FILING FEE **Examiner Action** 55 Extension for reply within 1st month Large Entity Small Entity 1251 110 2251 2252 200 Extension for reply within 2nd month 1252 400 Fee Fee Fee Fee **Fee Description** 2253 465 Extension for reply within 3rd month 1253 930 Fee Paid C de (\$) Code (\$) 2254 725 Extension for reply within 4th month 375 Utility filing fee 1254 1,450 101 750 201 750 2255 985 Extension for reply within 5th month 1255 1,960 106 330 206 165 Design filing fee 1401 320 2401 160 Notice of Appeal 107 520 Plant filing fee 207 260 1402 320 2402 160 Filing a brief in support of an appeal Reissue filing fee 108 750 208 375 1403 280 2403 140 Request for oral hearing 114 160 214 80 Provisional filing fee 1451 1,510 Petition to institute a public use 1451 1,510 SUBTOTAL (1) \$750 proceeding 1452 110 2452 55 Petition to revive - unavoidable 1453 1,300 650 Petition to revive - unintentional 2453 650 Utility issue fee (or reissue) 1501 1,300 2501 1502 470 2502 235 Design issue fee 2. [x] EXTRA CLAIM FEES 1503 630 2503 315 Plant issue fee Fee from 130 Petitions to the Commissioner 1460 130 1460 **Extra Claims** below Fee Paid 50 Processing fee under 37 CFR1.17(q) 1807 50 1807 252_ Total Claims _34_ - 20** = __14_ _18_ = 1806 180 1806 180 Submission of IDS Independent __1_ - 3** = _0_ 0 0 8021 40 8021 40 Recording assignment per property Claims 1809 750 2809 375 Filing a submission after final Multiple Dependent 1810 750 2810 375 For each additional invention to be examined Large Entity Small Entity 375 Request for Continued Examination 1801 750 2801 Fe Fee Fee Fee **Fee Description** 1802 900 1802 900 Request for expedited examination Code (\$) 1202 18 Code (\$) of a design application 2202 Claims in excess of 20 9 Other fee: 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dep. Claim SUBTOTAL (3) \$0 **Reissue indp. over orig. 1204 84 2204 42 **Reissue clms over 20 1205 18 2205 **SUBTOTAL (2) \$252**

Fe Paid

SUBMITTED BY:

**or number previously paid, if greater; For Reissues, see above

Richard Batt Reg. 43,485

Ph: (408) 736-0224

MNBI

Pals 3,2003

*Reduced by Basic Filing Fee Paid

Date